



SECURITIES AND EXCHANGE COMMISSION

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The following document has been received:

Receiving: Mark Anthony Oseña

Receipt Date and Time: April 30, 2025 02:16:55 PM

Company Information

SEC Registration No.: AS94003532

Company Name: LOCKTON PHILIPPINES INSURANCE & REINSURANCE BROKERS, INC.

Industry Classification: J68200

Company Type: Stock Corporation

Document Information

Document ID: OST10430202583234706

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Period Covered: March 31, 2025

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Remarks: None

Acceptance of this document is subject to review of forms and contents

GENERAL INFORMATION SHEET (GIS)
FOR THE YEAR 2025
STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK**. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SIGNED TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS.

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: LOCKTON PHILIPPINES INSURANCE & REINSURANCE BROKERS, INC.		DATE REGISTERED: 19 April 1994	
BUSINESS/TRADE NAME: LOCKTON PHILIPPINES INSURANCE & REINSURANCE BROKERS, INC.		FISCAL YEAR END: December 31	
SEC REGISTRATION NUMBER: AS094-003532		CORPORATE TAX IDENTIFICATION NUMBER (TIN) 003-832-821-000	
DATE OF ANNUAL MEETING PER BY-LAWS: Every 31st day of March		WEBSITE/URL ADDRESS: global.lockton.com	
ACTUAL DATE OF ANNUAL MEETING: 31 March 2025		E-MAIL ADDRESS: info.ph@lockton.com	
COMPLETE PRINCIPAL OFFICE ADDRESS: 29th Floor, Rufino Pacific Tower, 6784 Ayala Avenue, Makati City, Metro Manila		FAX NUMBER: 8811-0069 / 8811-0093	
COMPLETE BUSINESS ADDRESS: 29th Floor, Rufino Pacific Tower, 6784 Ayala Avenue, Makati City, Metro Manila		ALTERNATE MOBILE NO. +63 917 542 2128	
OFFICIAL E-MAIL ADDRESS info.ph@lockton.com		ALTERNATE E-MAIL ADDRESS aigina.Ogali@lockton.com	
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: KPMG in the Philippines R.G. Manabat & Co.		SEC ACCREDITATION NUMBER (if applicable) 107457-SEC	
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGE IN: Act as broker in procuring, negotiating, receiving, managing and forwarding applications for all types of insurance and reinsurance.		INDUSTRY CLASSIFICATION: Insurance Broker	
===== INTERCOMPANY AFFILIATIONS =====			
PARENT COMPANY N/A		SEC REGISTRATION NO. N/A	
SUBSIDIARY/AFFILIATE LOCKTON OVERSEAS LIMITED		SEC REGISTRATION NO. N/A	
		ADDRESS The St. Botolph Building 138 Houndsditch LONDON EC3A 7AG	
NOTE : USE ADDITIONAL SHEET IF NECESSARY.			

GENERAL INFORMATION SHEET (STOCK CORPORATION)

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

LOCKTON PHILIPPINES INSURANCE & REINSURANCE BROKERS, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)

Yes No

Please check the appropriate box:

1.

- a. Banks
- b. Offshore Banking Units
- c. Quasi-Banks
- d. Trust Entities
- e. Non-Stock Savings and Loan Associations
- f. Pawnshops
- g. Foreign Exchange Dealers
- h. Money Changers
- i. Remittance Agents
- j. Electronic Money Issuers
- k. Financial Institutions which Under Special Laws Are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- a. Insurance Companies
- b. Insurance Agents
- c. Insurance Brokers
- d. Professional Reinsurers
- e. Reinsurance Brokers
- f. Holding Companies
- g. Holding Company Systems
- h. Pre-need Companies
- i. Mutual Benefit Association
- j. All Other Persons and entities supervised and/or regulate by the Insurance Commission (IC)

3.

- a. Securities Dealers
- b. Securities Brokers
- c. Securities Salesman
- d. Investment Houses
- e. Investment Agents and Consultants
- f. Trading Advisors
- g. Other entities managing Securities or rendering similar services
- h. Mutual Funds or Open-end Investment Companies
- i. Close-end Investment Companies
- j. Common Trust Funds or Issuers and other similar entities
- k. Transfer Companies and other similar entities
- l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- m. Entities administering or otherwise dealing in valuable objects
- n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. Jewelry dealers in precious, metals, who, as a business, trade in precious metals.

5. Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- a. acting as a formation agent of juridical persons
- b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- a. managing of client money, securities or other assets
- b. management of bank, savings or securities accounts
- c. organization of contributions for the creation, operation or management of companies
- d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. None of the above

Describe nature of business:

Act as broker in procuring, negotiating, receiving, managing and forwarding applications for all types of insurance and reinsurance.

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

Yes No

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

LOCKTON PHILIPPINES INSURANCE & REINSURANCE BROKERS, INC.

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

AUTHORIZED CAPITAL STOCK	TYPE OF SHARES*	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares x Par/Stated Value)
	Common	100,000	P100.00	10,000,000.00
	TOTAL	100,000	TOTAL P	10,000,000.00

SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES*	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC**	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNER-SHIP
Five (5)	Common	66,670			P100.00	6,667,000.00	66.67%
TOTAL		66,670			TOTAL P	6,667,000.00	66.67%
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES*	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC**	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNER-SHIP
British	One (1)	Common	33,328		P100.00	3,332,900.00	33.33%
Chinese	One (1)	Common	1		P100.00	100.00	0.00%
Australian	One (1)	Common	1		P100.00	100.00	0.00%
Percentage of Foreign Equity:		TOTAL	33,330		TOTAL P	3,330,000.00	33.33%
					TOTAL SUBSCRIBED P	10,000,000.00	100%

PAID-UP CAPITAL

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

(STOCK CORPORATION)

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

LOCKTON PHILIPPINES INSURANCE & REINSURANCE BROKERS, INC.

D I R E C T O R S / O F F I C E R S

NAME AND CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. ELFREN S. CRUZ 146 Don Rufino Avenue Tahanan Village Sucat, Parañaque City, Metro Manila	Filipino	N	C	M	Y	Chairman/ Treasurer & Chief Finance Officer/ Corporate Secretary	N/A	157-394-480
2. VICTORIO C. VALLEDOR 102 Kanlaon Street, Ayala Alabang Village Muntinlupa City, Metro Manila	Filipino	Y	M	M	Y	President & Chief Executive Officer	N/A	106-971-494
3. MANUEL C. QUIJANO 339 Batangas South Ayala Alabang Village 1780, Muntinlupa City	Filipino	N	M	M	Y	Vice-President & Chief Operating Officer	N/A	110-143-103
4. PATERNO ESMAQUEL Unit 1706 Prestige Tower Condominium, F. Ortigas Jr. Road, Ortigas Center, Pasig City	Filipino	N	M	M	Y	N/A	N/A	105-358-174
5. WARREN L. MERRITT Level 5, 1100 Hay Street West, Perth, Western Australia 6005	Australian	N	M	M	Y	N/A	N/A	634-916-455
6. AIGINA KRYSTEL V. OGALI Unit 1202 Manila Residences Tower II Taft Avenue, Manila	Filipino	N	N/A	F	N	Assistant Corporate Secretary	N/A	252-986-412
7. RICHARD HENRICK I. BELTRAN V&A Law Center, 11 th Avenue corner 39 th Street, Bonifacio Global City 1634 Metro Manila	Filipino	N	N/A	M	N	Assistant Corporate Secretary	N/A	443-202-748

INSTRUCTIONS:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER.
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE.
 ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET
STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

LOCKTON PHILIPPINES INSURANCE & REINSURANCE BROKERS, INC.

TOTAL NUMBER OF STOCKHOLDERS: **Eight (8)** NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: **Two (2)**

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: **PhP 1,157,082,483**

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED			AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)		
1. VICTORIO C. VALLEDOR Filipino 102 Kanlaon Street, Ayala Alabang Village Muntinlupa City, Metro Manila	Common	66,662	6,666,200.00	66.67%	106-971-494
	TOTAL	66,662	6,666,200.00		
2. LOCKTON OVERSEAS LIMITED British St. Botolph Building, 138 Houndsditch, London EC3AG	Common	33,328	3,332,800.00	33.33%	242-763-168
	TOTAL	33,328	3,332,800.00		
3. ELFREN S. CRUZ Filipino 146 Don Rufino Avenue Tahanan Village Sucat, Parañaque City, Metro Manila	Common	3	300.00	0.00%	157-394-480
	TOTAL	3	300.00		
4. JOHN C. KAW Filipino 108 General Concepcion Street Caloocan City, Metro Manila	Common	3	300.00	0.00%	107-742-746
	TOTAL	3	300.00		
5. WARREN L. MERRITT Australian Level 5, 1100 Hay Street West, Perth, Western Australia 6005	Common	1	100.00	0.00%	634-916-455
	TOTAL	1	100.00		
6. YIP KAM KEUNG ALEX Chinese Suite 903, 9 Floor, PCCW Tower Taikoo Place, 979 King's Road Island East, Hong Kong	Common	1	100.00	0.00%	741-834-730
	TOTAL		100.00		
7. MANUEL C. QUIJANO Filipino 339 Batangas South Ayala Alabang Village 1780, Muntinlupa City	Common	1	100.00	0.00%	110-143-103
	TOTAL	1	100.00		
8. PATERNO ESMAQUEL Filipino Unit 1706 Prestige Tower Condominium, F. Ortigas Jr. Road, Ortigas Center, Pasig City	Common	1	100.00	0.00%	105-358-174
	TOTAL	1	100.00		
		TOTAL AMOUNT OF SUBSCRIBED CAPITAL	10,000,000.00	100%	
				TOTAL AMOUNT OF PAID-UP CAPITAL	10,000,000.00

INSTRUCTIONS: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS.

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet if necessary.

GENERAL INFORMATION SHEET

STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

LOCKTON PHILIPPINES INSURANCE & REINSURANCE BROKERS, INC.

TOTAL NUMBER OF STOCKHOLDERS:

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED			AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)		
9. --- Nothing follows ---	Common				
	TOTAL				
10.	Common				
	TOTAL				
11.	Common				
	TOTAL				
12.	Common				
	TOTAL				
13.	Common				
	TOTAL				
14.	Common				
	TOTAL				
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			TOTAL AMOUNT OF PAID-UP CAPITAL		

INSTRUCTIONS: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS.

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

LOCKTON PHILIPPINES INSURANCE & REINSURANCE BROKERS, INC.

TOTAL NUMBER OF STOCKHOLDERS:

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
15.	Common					
	TOTAL					
16.	Common					
	TOTAL					
17.	Common					
	TOTAL					
18.	Common					
	TOTAL					
19.	Common					
	TOTAL					
20.	Common					
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				TOTAL AMOUNT OF PAID-UP CAPITAL		

INSTRUCTIONS: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS.

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

LOCKTON PHILIPPINES INSURANCE & REINSURANCE BROKERS, INC.

1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION				
1.1 STOCKS	AMOUNT (PhP)	DATE OF BOARD RESOLUTION		
	N/A	N/A		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	AMOUNT (PhP)	DATE OF BOARD RESOLUTION		
	N/A	N/A		
1.3 LOANS/CREDITS/ADVANCES	AMOUNT (PhP)	DATE OF BOARD RESOLUTION		
	N/A	N/A		
1.4 GOVERNMENT TREASURY BILLS	AMOUNT (PhP)	DATE OF BOARD RESOLUTION		
	N/A	N/A		
1.5 OTHERS	AMOUNT (PhP)	DATE OF BOARD RESOLUTION		
	N/A	N/A		
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY):		DATE OF BOARD RESOLUTION		
		N/A	DATE OF STOCKHOLDERS' RATIFICATION	
		N/A	N/A	
3. TREASURY SHARES		NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
		N/A	N/A	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: 281,253,793				
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR : 220,000,000				
TYPE OF DIVIDEND		AMOUNT (PhP)	DATE DECLARED	
5.1 CASH				
5.2 STOCK				
5.3 PROPERTY				
TOTAL P				
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:				
DATE	NO. OF SHARES	AMOUNT		
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOVT AGENCY:				
NAME OF AGENCY:	SEC	BSP	IC	
TYPE OF LICENSE/REGN.	N/A	N/A	IB-09-2022-R / RB-03-2022-R	
DATE ISSUED:	N/A	N/A	1 January 2022	
DATE STARTED OPERATIONS:	N/A	N/A	19 April 1994	
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)		TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
NONE		67	55	122
NOTE: USE ADDITIONAL SHEET IF NECESSARY				

I, **AIGINA KRYSTEL V. OGALI**, Assistant Corporate Secretary of the above mentioned corporation, declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this _____ day of APR 28 2025 2025 in Taguig City.


AIGINA KRYSTEL V. OGALI
Assistant Corporate Secretary

SUBSCRIBED AND SWORN TO before me in Taguig City on APR 28 2025 2025, affiant personally appeared before me presenting and exhibiting competent evidence of her identity, PP# P6642748A bearing her photograph and signature, issued by the DFA NCR NORTH, valid until 03 APR 2028.

Book No. 497 ;
Doc No. 101 ;
Page No. II ;
Series of 2025.




CHRISTOPHER JOHN D. TAYLO
Notary Public for Taguig City, Philippines
Appointment No. 134 (2024-2025), Until 31 December 2025
Roll No. 70001, RSM
IBP No. 511141-17/20/24
PTR No. 8396694 - 01/08/25 - Taguig City
V&A LAW CENTER 11th Avenue corner 38th Street,
Bonifacio Triangle, Bonifacio Global City 1634, Metro Manila

BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER	:	AS094-003532
CORPORATE NAME	:	LOCKTON PHILIPPINES INSURANCE & REINSURANCE BROKERS, INC.

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

<u>Category</u>	<u>Description</u>
A	Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
B	Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
C	Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
D	Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
E	Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
F	Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
G	Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
H	Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
I	Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TIN	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
VICTORIO C. VALLEDOR	102 Kanlaon Street, Ayala Alabang Village, Muntinlupa City, Metro Manila	Filipino	27 October 1947	106-971-494	66.67%	D	A

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.