



Lockton Companies NZ Limited Partnership

SCHEDULE OF DATA COLLECTION

Third party	Source	Information Provided to that party	Information received from that party	Purpose	Law basis
Insurance providers	Lockton may seek insurance options from a number of insurance providers. The IBANZ has published a directory of insurance providers here . A directory of life and health insurers is published here .	Application (including name, DOB, address), financial information	Underwriting decision, exclusions, risk loadings, premium terms	Arrange or assess insurance options	Not applicable

Third party	Source	Information Provided to that party	Information received from that party	Purpose	Law basis
Premium Funders	Various	Client name, contact details, scope of insurances provided, premium costs and insurer details	Credit lending decision, loan repayment schedule	Arrange or assess premium payment options	Not applicable
Know Your Customer (KYC) checks	LexisNexis	Client name, contact details	Review assessment approval or declination	Assess legality of entity	Not Applicable
Compliance consultants, risk engineers or any other third parties appointed to assist in the identification of insurable risk	Various	Client name, contact details, and context for the introduction	Insured risk exposure identification	To support or assess insurance requirements and options	Not applicable
Loss adjusters or any other third parties appointed to assist in an insurance claim	Various	Client name, context of claim	Coverage decision and calculation of loss	Facilitating a claim in connection with an insurance policy	Not applicable

Third party	Source	Information Provided to that party	Information received from that party	Purpose	Law basis
Employer	Various	Policy options, underwriting decision	Application (including name, DOB, address), financial information	Arrange or assess insurance options for employee benefits	Not applicable
Referrer	Various	Client name, contact details, and limited context for the introduction	N/A	For the provision of arranging or assessing insurance. If for another purpose, this will be disclosed separately.	Not applicable
Contractors or temporary staff	Various	Personal information (including name, DOB, address), financial information	N/A	To handle workload of Lockton when required.	Not applicable
Government and Regulatory authorities	Various	Personal information (including name, DOB, address)	N/A	To comply with Government or Regulatory requirements	Various

Third party	Source	Information Provided to that party	Information received from that party	Purpose	Law basis
Financial and legal advisers	Various	Personal information (including name, DOB, address), financial information	N/A	To provide legal and financial advice and assistance	Not applicable

Notice method: This schedule forms part of the Lockton Companies NZ Limited Partnership Privacy Notice

[Privacy Statement | Lockton](#)

Access to your personal information: You have a right to access your personal information, subject to certain exceptions allowed by law. We ask that you provide your request for access in writing (for security reasons) info.nz@lockton.com and we will provide you with access to that personal information. Further information can be found at the [Privacy Policy](#).